## MPRI 2–2 TD 3 du 22/01/2019 — With solutions

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1) The goal of this exercise is to understand the structure of the category **PoLR**<sup>!</sup> (the category of coalgebras of the comonad ! on the category **PoLR**). We refer to the lecture notes for all basic definitions and notations.

1.1) Given a preorder S, we set  $h_S = \{(a, u^0) \in |S| \times |!S| \mid \forall a' \in u^0 \ a' \leq_S a\}$ . Prove that  $h_S \in \mathbf{PoLR}(S, !S)$ .

Solution  $\triangleright$  Let  $(a, u^0) \in h_S$  and  $(b, v^0) \in |S \multimap !S|$  be such that  $(b, v^0) \leq_{S \multimap !S} (a, u^0)$ , that is,  $a \leq_S b$  and  $v^0 \leq_{!S} u^0$ , we must prove that  $(b, v^0) \in h_S$ . So let  $b' \in v^0$ , let  $a' \in u^0$  be such that  $b' \leq_S a'$  (using  $v^0 \leq_{!S} u^0$ ), we know that  $a' \leq_S a$  since  $(a, u^0) \in h_S$  and hence  $b' \leq_S a' \leq_S a \leq_S b$ . So  $\forall b' \in v^0$   $b' \leq_S b$  as required.

1.2) Is the family of morphisms  $(h_S)_S$  natural in S? That is, is it true that  $h_T t = !t h_S$  for all  $t \in \mathbf{PoLR}(S,T)$ ?

Solution  $\triangleright$  We postpone the answer to Question 1.9.

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1.3) Prove that  $\operatorname{der}_S h_S = \operatorname{Id}_S$ .

Solution  $\triangleright$  We have to prove an equality of sets, so we prove both inclusions. Let  $(a, a') \in |S \multimap S|$ . Assume first that  $(a, a') \in \operatorname{der}_S h_S$ . So there exists  $u^0 \in |!S|$  such that  $(a, u^0) \in h_S$  and  $(u^0, a') \in \operatorname{der}_S$ . By the second condition there is  $a'' \in u^0$  such that  $a' \leq_S a''$ . By the first condition,  $a'' \leq_S a$  so  $a' \leq_S a$  that is  $(a, a') \in \operatorname{Id}_S$ .

Conversely assume that  $(a, a') \in \mathsf{Id}_S$ , that is  $a' \leq_S a$ . Then  $(\{a'\}, a') \in \mathsf{der}_S$  and  $(a, \{a'\}) \in h_S$  so  $(a, a') \in \mathsf{der}_S h_S$ .

1.4) Prove that  $\operatorname{dig}_S h_S = !h_S h_S$ . So we have shown that  $(S, h_S)$  is an object of **PoLR**!: any preorder has a canonical structure of coalgebra. We prove now that this structure is unique.

Solution  $\triangleright$  Assume first that  $(a, U^0) \in !h_S h_S$ . So let  $u^0 \in !lS|$  be such that  $(a, u^0) \in h_S$  and  $(u^0, U^0) \in !h_S$ . The second condition means that  $\forall v^0 \in U^0 \exists a' \in u^0 \ (a', v^0) \in h_S$ . Let  $b \in \cup U^0$ . Let  $v^0 \in U^0$  be such that  $b \in v^0$ . By the first condition there is  $a' \in u^0$  such that  $b \leq s a' \ (a' \text{ satisfies this for all the elements of } v^0 \text{ actually})$ . Then  $a' \leq s a$  by the first condition. Therefore  $(\{a\}, U^0) \in \text{dig}_S$  and since  $(a, \{a\}) \in h_S$  we have  $(a, U^0) \in \text{dig}_S h_S$ .

Conversely assume that  $(a, U^0) \in \operatorname{dig}_S h_S$ , so let  $u^0 \in |!S|$  be such that  $(a, u^0) \in h_S$  and  $(u^0, U^0) \in \operatorname{dig}_S$ , that is  $\cup U^0 \leq !_S u^0$  (and hence  $\forall v^0 \in U^0 v^0 \leq !_S u^0 \leq !_S \{a\}$ ). Hence  $(\{a\}, U^0) \in !h_S$  and since  $(a, \{a\}) \in h_S$  we have  $(a, U^0) \in !h_S h_S$  as required.

1.5) Let  $h \in \mathbf{PoLR}(S, !S)$  be a coalgebra structure. Using the fact that  $\operatorname{der}_S h \subseteq \operatorname{Id}_S$  prove that  $h \subseteq h_S$  (take  $(a, u^0) \in h$  and then for any  $a' \in u^0$  observe that  $(u^0, a') \in \operatorname{der}_S$ ).

Solution  $\triangleright$  Let  $(a, u^0) \in h$ . Let  $a' \in u^0$ , we have  $(u^0, a') \in \mathsf{der}_S$  and hence  $(a, a') \in \mathsf{Id}_S$  since  $\mathsf{der}_S h \subseteq \mathsf{Id}_S$ . Therefore  $a' \leq_S a$  which shows that  $h \subseteq h_S$ .

1.6) Using the fact that  $\mathsf{Id}_S \subseteq \mathsf{der}_S h$ , prove that  $(a, \{a\}) \in h$  for all  $a \in |S|$  (do not forget that  $h \in \mathbf{PoLR}(S, !S)!$ ).

Solution  $\triangleright$  Let  $a \in |S|$ , we have  $(a, a) \in \mathsf{Id}_S$  and hence  $(a, a) \in \mathsf{der}_S h$ . So let  $u^0 \in |!S|$  be such that  $(a, u^0) \in h$  and  $(u^0, a) \in \mathsf{der}_S$ . By the second property there is  $a' \in u^0$  such that  $a \leq_S a'$  and hence  $\{a\} \leq_{!S} u^0$ . Since  $(a, u^0) \in h$ ,  $\{a\} \leq_{!S} u^0$  and  $h \in \mathbf{PoLR}(S, !S)$ , we have  $(a, \{a\}) \in h$ .

1.7) Prove that  $h = h_S$ .

Solution  $\triangleright$  It suffices to prove that  $h_S \subseteq h$ , so let  $(a, u^0) \in h_S$ . We have seen that  $(a, \{a\}) \in h$  and by our assumption we have  $u^0 \leq_S \{a\}$ . Since  $h \in \mathbf{PoLR}(S, !S)$  we conclude that  $(a, u^0) \in h$  as required.  $\triangleleft$ 

Strangely enough we have not used the equation  $\operatorname{dig}_{S} h = !hh$ . We have shown that any object S of **PoLR** has exactly one structure of !-coalgebra. Observe that one has accordingly  $\operatorname{dig}_{S} = h_{!S}$ , for instance, since  $(!S, \operatorname{dig}_{S})$  is a typical !-coalgebra, the free one generated by S.

A natural question is whether such a phenomenon occurs in all models of LL.

1.8) (Open question) Look for a counter-example in the model of coherence spaces, that is: a coherence space which has no coalgebra structures or which has several coalgebra structures), for the usual "!" comonad on coherence spaces.

1.9) Let S and T be preorders and let  $s \in \mathbf{PoLR}(S, T)$ , remember that  $s \in \mathbf{PoLR}^{!}((S, h_{S}), (T, h_{T})))$ iff  $h_{T}s = !s h_{S}$ . Prove that this condition is equivalent to: for all  $a \in |S|$  and  $b_{1}, \ldots, b_{n} \in |T|$  (with  $n \in \mathbb{N}$ ), there is  $b \in |T|$  such that  $(a, b) \in s$  and  $b_{i} \leq_{T} b$  for all i iff there are  $a_{1}, \ldots, a_{n} \in |S|$  such that  $a_{i} \leq_{S} a$  and  $(a_{i}, b_{i}) \in s$  for all i. What does this condition mean when n = 0?

Solution  $\triangleright$  Let  $s \in \operatorname{PoLR}(S,T)$ . Let  $a \in |S|$  and  $v^0 = \{b_1, \ldots, b_n\} \in |!T|$ . Then  $(a, v^0) \in h_T s$  means that there is  $b \in |T|$  such that  $(a, b) \in s$  and  $(b, v^0) \in h_T$ , that is  $b_i \leq_T b$  for all i. And  $(a, v^0) \in !s h_S$  means that there is  $u^0 \in |!S|$  such that  $(a, u^0) \in h_S$  (that is  $u_0 \leq_{!S} \{a\}$ ) and  $(u^0, v^0) \in !s$ , which is equivalent to the existence of  $a_1, \ldots, a_n \in |S|$  such that  $a_i \leq a$  and  $(a_i, b_i) \in s$  for each i. This proves the equivalence.

Notice that, since we assume  $s \in \mathbf{PoLR}(S,T)$ , the  $\Rightarrow$  direction of the equivalence is always true: if  $(a,b) \in s$  and  $b_1, \ldots, b_n \leq b$  then it suffices to take  $a_i = a$  for  $i = 1, \ldots, n$ . So the criterion for  $s \in \mathbf{PoLR}^!(S,T)$  boils down to: if  $(a_i, b_i) \in s$  and  $a_i \leq s a$  for  $i = 1, \ldots, n$ , then there exists  $b \in |T|$  such that  $(a,b) \in s$  and  $b_i \leq T b$  for  $i = 1, \ldots, n$ .

When n = 0 this criterion means that, for all  $a \in |S|$ , there is a  $b \in |T|$  such that  $(a, b) \in s$ . So if  $|S| \neq \emptyset$  and  $s \in \mathbf{PoLR}^{!}(S, T)$  then  $s \neq \emptyset$ . Notice that this provides a negative answer to Question 1.2.

1.10) An *ideal* of S is a downwards-closed directed subset of |S|, that is, a subset u of |S| such that

- $u \neq \emptyset$
- $\forall a_1, a_2 \in u \exists a \in u \ a_1, a_2 \leq_S a$
- $\forall a \in u \,\forall a' \in |S| \, a' \leq_S a \Rightarrow a' \in u.$

We use  $\widehat{\mathcal{I}}(S)$  for the set of all ideals of |S| (sometimes called the *ideal completion* of S), ordered under inclusion. Prove that  $\widehat{\mathcal{I}}(S)$  is a cpo (which has not necessarily a least element however). Prove that, for any  $a \in |S|$ , one has  $\downarrow a \in \widehat{\mathcal{I}}(S)$  and that  $\downarrow a$  is isolated in  $\widehat{\mathcal{I}}(S)$  (see Chapter 5 in the lecture notes). Last prove that  $\widehat{\mathcal{I}}(S)$  is algebraic (actually any algebraic cpo D is of shape  $\widehat{\mathcal{I}}(S)$  for S the set of isolated elements of D equiped with the induced order relation).

Solution  $\triangleright \quad \widehat{\mathcal{I}}(S)$  is a cpo: it suffices to prove that, if  $\mathcal{D} \subseteq \widehat{\mathcal{I}}(S)$  is directed then  $\cup \mathcal{D} \in \widehat{\mathcal{I}}(S)$  since then  $\cup \mathcal{D}$  is necessarily the least upper bound of  $\mathcal{D}$  in  $\widehat{\mathcal{I}}(S)$  (which is ordered under inclusion). Since  $\mathcal{D} \neq \emptyset$  and  $u \neq \emptyset$  for all  $u \in \mathcal{D}$ , we have  $\cup \mathcal{D} \neq \emptyset$ . Next let  $a \in \cup \mathcal{D}$  and let  $a' \in |S|$  be such that  $a' \leq_S a$ . Let  $u \in \mathcal{D}$  be such that  $a \in u$ . Since  $u \in \widehat{\mathcal{I}}(S)$  we have  $a' \in u$  and hence  $a' \in \cup \mathcal{D}$ . Last let  $a_1, a_2 \in \cup \mathcal{D}$ . Let  $u^1, u^2 \in \mathcal{D}$  be such that  $a_i \in u^i$  for i = 1, 2. Since  $\mathcal{D}$  is directed there is  $u \in \mathcal{D}$  such that  $u^i \subseteq u$  for i = 1, 2 and hence  $a_1, a_2 \in u$ . But  $u \in \widehat{\mathcal{I}}(S)$  hence u is directed, so there is  $a \in u$  such that  $a_i \leq_S a$  for i = 1, 2. We have  $a \in \cup \mathcal{D}$  since  $u \in \mathcal{D}$  and this ends the proof that  $\cup \mathcal{D} \in \widehat{\mathcal{I}}(S)$ .

Isolated elements: first, if  $a \in |S|$  it is clear that  $\downarrow a \in \widehat{\mathcal{I}}(S)$ , let us prove that it is isolated. So let  $\mathcal{D} \subseteq \widehat{\mathcal{I}}(S)$  be directed and such that  $\downarrow a \subseteq \cup \mathcal{D}$ . Then  $a \in \cup \mathcal{D}$  so there is  $u \in \mathcal{D}$  such that  $a \in u$ , but then  $\downarrow a \subseteq u$  since  $u \in \widehat{\mathcal{I}}(S)$ , which ends the proof that  $\downarrow a$  is isolated. Conversely let  $u_0 \in \downarrow S$  be isolated. Let  $\mathcal{D} = \{\downarrow a \mid a \in u_0\}$ . Then  $\mathcal{D}$  is a directed subset of  $\widehat{\mathcal{I}}(S)$  (because  $u_0$  is directed) and clearly  $\cup \mathcal{D} = u_0$ . So since  $u_0$  is isolated there must be  $a \in u_0$  such that  $u_0 \subseteq \downarrow a$ . Since the converse inclusion holds because  $u_0 \in \widehat{\mathcal{I}}(S)$ , we must have  $u_0 = \downarrow a$ .

 $\widehat{\mathcal{I}}(S)$  is algebraic: this is obvious since for all  $u \in \widehat{\mathcal{I}}(S)$ , one has  $u = \bigcup \{ \downarrow a \mid a \in u \}$  (the set  $\{ \downarrow a \mid a \in u \}$  is directed in  $\widehat{\mathcal{I}}(S)$ , it is the set of all isolated lower bounds of u).

1.11) Exhibit a canonical bijection between  $\widehat{\mathcal{I}}(S)$  and  $\mathbf{PoLR}^{!}((1,h_{1}),(S,h_{S}))$  (remember that  $1 = (\{*\},=)$  so that simply  $h_{1} = \{(*,*)\}$ ). Using it prove that, if  $s \in \mathbf{PoLR}^{!}((S,h_{S}),(T,h_{T}))$  and  $u \in \widehat{\mathcal{I}}(S)$  one has  $s u \in \widehat{\mathcal{I}}(T)$  (you can also prove this directly). We use fun!(s) for this function  $\widehat{\mathcal{I}}(S) \to \widehat{\mathcal{I}}(T)$ .

Solution  $\triangleright$  Canonical bijection: let  $u \in \widehat{\mathcal{I}}(S)$ , then we claim that  $\{*\} \times u \in \mathbf{PoLR}^{!}((1,h_{1}),(S,h_{S}))$ . First we have  $\{*\} \times u \in \mathbf{PoLR}(1,S)$  because u is downwards closed. By Question 1.9, it suffices to prove if  $b_{1}, \ldots, b_{n} \in u$  then there is  $b \in u$  such that  $b_{i} \leq_{S} b$  for all i which results immediately from the fact that  $u \in \widehat{\mathcal{I}}(S)$ . Conversely if  $s \in \mathbf{PoLR}^{!}((1,h_{1}),(S,h_{S}))$  then  $u = \{a \mid (*,a) \in s\} \in \widehat{\mathcal{I}}(S)$  again by 1.9 and by the fact that  $s \in \mathbf{PoLR}(1,S)$ . We set  $\theta(u) = \{*\} \times u$ .

Action of morphisms: let  $s \in \mathbf{PoLR}^{!}((S, h_{S}), (T, h_{T}))$ . Then  $\theta(\mathsf{fun}^{!}(s)(u)) = s \,\theta(u) \in \mathbf{PoLR}^{!}(1, T)$ and hence  $\mathsf{fun}^{!}(s)(u) \in \widehat{\mathcal{I}}(T)$ .

1.12) Prove that fun!(s) is Scott-continuous. Conversely, given a Scott-continuous function  $f : \widehat{\mathcal{I}}(S) \to \widehat{\mathcal{I}}(T)$ , define  $\operatorname{tr}^!(f) = \{(a,b) \in |S| \times |T| \mid b \in f(\downarrow a)\}$ . Prove that  $\operatorname{tr}^!(f) \in \operatorname{\mathbf{PoLR}}^!((S,h_S),(T,h_T))$ .

Solution  $\triangleright$  Scott continuity is obvious: by its definition  $\operatorname{fun}^!(s)$  is monotonic and commutes with all existing unions, so in particular with directed ones (the only ones which certainly exist in  $\widehat{\mathcal{I}}(S)$ ). Let  $f:\widehat{\mathcal{I}}(S) \to \widehat{\mathcal{I}}(T)$  be Scott continuous. Observe first that  $\operatorname{tr}^!(f) \in \operatorname{PoLR}(S,T)$  holds by monotonicity of f.

We prove that the criterion of Question 1.9 holds for  $\operatorname{tr}^!(f)$ , so let  $a \in |S|$  and  $b_1, \ldots, b_n \in |T|$ . Assume that we have  $a_1, \ldots, a_n$  such that  $a_i \leq_S a \in |S|$  and  $(a_i, b_i) \in \operatorname{tr}^!(f)$  for each i. Then  $b_i \in f(\downarrow a_i) \subseteq f(\downarrow a)$  and since  $f(\downarrow a) \in \widehat{\mathcal{I}}(T)$  there exists  $b \in f(\downarrow a)$  such that  $b_i \leq_T b$  for each i. Since  $(a, b) \in \operatorname{tr}^!(f)$  we have proven our contention.

1.13) Prove that the operations fun<sup>!</sup>(\_) and tr<sup>!</sup>(\_) are inverse of each other.

Solution  $\triangleright$  First let  $s \in \mathbf{PoLR}^{!}(S,T)$  and let us prove that  $\mathsf{tr}^{!}(\mathsf{fun}^{!}(s)) = s$ . Let  $(a,b) \in s$ , then  $b \in \mathsf{fun}^{!}(s)(\downarrow a)$  and hence  $(a,b) \in \mathsf{tr}^{!}(\mathsf{fun}^{!}(s))$ . Conversely assume that  $(a,b) \in \mathsf{tr}^{!}(\mathsf{fun}^{!}(s))$ , which means that  $b \in \mathsf{fun}^{!}(s)(\downarrow a)$ , that is  $(a',b) \in s$  for some a' such that  $a' \leq_{S} a$ . Since  $s \in \mathbf{PoLR}(S,T)$  we have  $(a,b) \in s$ .

Now let  $f : \widehat{\mathcal{I}}(S) \to \widehat{\mathcal{I}}(T)$  be Scott continuous and let us prove first that  $f = \operatorname{fun}!(\operatorname{tr}!(f))$ . Let  $u \in \widehat{\mathcal{I}}(S)$  and let us prove that  $f(u) \subseteq \operatorname{fun}!(\operatorname{tr}!(f))(u)$ . Let  $b \in f(u) = f(\bigcup_{a \in u} \downarrow a) = \bigcup_{a \in u} f(\downarrow a)$  by Scott continuity (remember that, since  $u \in \widehat{\mathcal{I}}(S)$ , the set  $\{\downarrow a \mid a \in u\}$  is directed in  $\widehat{\mathcal{I}}(S)$ ). So there exists  $a \in u$  such that  $(a, b) \in \operatorname{tr}!(f)$ . Hence  $b \in \operatorname{fun}!(\operatorname{tr}!(f))(u)$  and we are done. Conversely we prove that  $\operatorname{fun}!(\operatorname{tr}!(f))(u) \subseteq f(u)$ . Let  $b \in \operatorname{fun}!(\operatorname{tr}!(f))(u)$ . Let  $a \in u$  be such that  $(a, b) \in \operatorname{tr}!(f)$ , that is  $b \in f(\downarrow a)$ . Since  $a \in u$  we have  $\downarrow a \subseteq u$  and hence  $b \in f(u)$  by monotonicity of f.

1.14) Prove that **PoLR**<sup>!</sup> is cartesian (with cartesian product defined using  $\otimes$  and not &) and also cocartesian (with co-product defined using  $\oplus$ ). Describe the corresponding operations on cpos. Compare with what happens in **PoLR** for & and  $\oplus$ .

Solution  $\triangleright$  If S is a preorder, we use simply the notation S for the unique associated object  $(S, h_S)$  of **PoLR**<sup>!</sup>.

Cartesian product: Observe first that 1 is the terminal object of  $\mathbf{PoLR}^!$ . Indeed  $\mathbf{PoLR}^!(S, 1)$  has exactly one element, namely  $\{(a, *) \mid a \in |S|\}$ . More generally, let  $(S_i)_{i \in I}$  be a family of preorders (for Ifinite). Let T be the preorder defined by  $|T| = \prod_{i \in I} |S_i|$  and  $(a_i)_{i \in I} \leq_T (a'_i)_{i \in I}$  if  $a_i \leq_{S_i} a'_i$  for all  $i \in I$  (in other words  $T = \bigotimes_{i \in I} S_i$ ). We define projections  $\mathsf{pr}_j^! \in \mathsf{PoLR}^!(T, S_j)$  as  $\mathsf{pr}_j^! = \{((a_i)_{i \in I}, a') \mid a' \leq_{S_j} a_j\}$ . Clearly  $\mathsf{pr}_j^! \in \mathsf{PoLR}(T, S_j)$ , let us check that  $\mathsf{pr}_j^! \in \mathsf{PoLR}^!(T, S_j)$ . So let  $\vec{a} = (a_i)_{i \in I} \in |T|$  and  $(\vec{a}(l), a'_l) \in \mathsf{pr}_j^!$  with  $\vec{a}(l) \leq_T \vec{a}$  for  $l = 1, \ldots, k$ . Then we have  $a'_l \leq a(l)_j \leq_S a_j$  for all l, and  $(\vec{a}, a_j) \in \mathsf{pr}_j^!$ , showing that the criterion of 1.9 holds for  $\mathsf{pr}_j^!$ .

Now let  $s_i \in \mathbf{PoLR}^{!}(U, S_i)$  for i = 1, ..., n. We define  $t \subseteq |U| \times |T|$  by  $t = \{c, (a_i)_{i \in I} \mid (c, a_i) \in s_i \text{ for } i = 1, ..., n\}$ . The fact that  $t \in \mathbf{PoLR}(U, T)$  results easily from the fact that  $s_i \in \mathbf{PoLR}(U, S_i)$  for each i. We prove that  $t \in \mathbf{PoLR}^{!}(U, T)$ , so let  $c \in |U|$  and  $(c_l, \vec{a}(l)) \in t$  with  $c_l \leq U c$  for l = 1, ..., k. Let  $i \in \{1, ..., n\}$ , we have  $(c_l, a(l)_i) \in s_i$  for l = 1, ..., k so, applying 1.9 to  $s_i$ , we can find  $a_i \in |S_i|$  such that  $(c, a_i) \in s_i$  and  $a(l)_i \leq a_i$  for l = 1, ..., k. Now  $(c, \vec{a} = (a_i)_{i \in I}) \in t$  by definition of t, and  $\vec{a}(l) \leq_T \vec{a}$  for l = 1, ..., k. We have proven that t satisfies Criterion 1.9 so  $t \in \mathbf{PoLR}^{!}(U, T)$ . The fact that  $\mathbf{pr}_i^i \circ t = s_i$  for i = 1, ..., n immediately results from the definitions. Uniqueness of t also results from the fact that we must have  $\mathbf{pr}_i^i \circ t = s_i$  for i = 1, ..., n.

Cpo description of the product: one checks easily (do it!) that  $\widehat{\mathcal{I}}(\bigotimes_{i \in I} S_i) = \prod_{i \in I} \widehat{\mathcal{I}}(S_i)$  up to canonical order isomorphism (check the details).

Coproduct: given a (potentially infinite) family  $(S_i)_{i \in I}$  of preorders, we show that  $T = \bigoplus_{i \in S_i}$  (as defined in the course, that is  $|T| = \bigcup_{i \in I} \{i\} \times |S_i|$ ) together with the usual injections  $in_i \in \mathbf{PoLR}(S_i, T)$  is the coproduct of the  $S_i$ 's in  $\mathbf{PoLR}$ . One needs first to prove that  $in_i \in \mathbf{PoLR}^!(S_i, T)$  so let  $a \in S_i$  and  $(a_1, (j_1, a'_1)), \ldots, (a_k, (j_k, a'_k)) \in in_i$  with  $a_1, \ldots, a_k \leq_S a$ . Then by definition of  $in_i$  we know that  $j_1 = \cdots = j_k = i$  and  $a'_1, \ldots, a'_k \leq_{S_i} a$ , so  $(j_1, a'_1), \ldots, (j_k, a'_k) \leq_T (i, a)$  and Criterion 1.9 holds since  $(a, (i, a)) \in in_i$ .

Then let  $s_i \in \mathbf{PoLR}^!(S_i, U)$ , we define  $t \in \mathbf{PoLR}(T, U)$  as in  $\mathbf{PoLR}$ , setting  $t = \{((i, a), c) \mid (a, c) \in s_i\}$ . We have to prove that  $t \in \mathbf{PoLR}^!(T, U)$  and for this we use again Criterion 1.9. Let  $(i, a) \in |T|$  (so that  $a \in |S_i|$ ) and  $((j_1, a_1), c_1), \ldots, ((j_k, a_k), c_k) \in t$  with  $(j_l, a_l) \leq_T (i, a)$  for  $l = 1, \ldots, k$ . This means that  $j_l = i$  and  $a_l \leq_{S_i} a$  for  $l = 1, \ldots, k$ . So we actually have  $(a_l, c_l) \in s_i$  for  $l = 1, \ldots, k$  and hence, by Criterion 1.9 applied to  $s_i$ , there exists  $c \in |U|$  such that  $(a, c) \in s_i$  and hence  $((i, a), c) \in t$ , so that Criterion 1.9 holds for t. The fact that  $t \text{ in}_i = s_i$  and that t is unique with these properties are obvious.

Cpo description of the coproduct: one checks easily (do it!) that  $\mathcal{I}(\bigoplus_{i \in I} S_i)$  is (isomorphic to) the disjoint union of the  $\widehat{\mathcal{I}}(S_i)$ 's  $\bigcup_{i \in I} \{i\} \times \widehat{\mathcal{I}}(S_i)$  with the disjoint union of the order relations. Notice that this means that such a coproduct (if non-trivial) has no least element. Notice also that the unit of this coproduct is the proder 0 such that  $|0| = \emptyset$  and that  $\widehat{\mathcal{I}}(0) = \emptyset$  (whereas  $\mathcal{I}(0) = \{\emptyset\}$ ).

1.15) Prove that  $\widehat{\mathcal{I}}(!S) = \mathcal{I}(S)$ . Using this observation explain how the canonical inclusion functor  $\mathbf{PoLR}_! \to \mathbf{PoLR}^!$  (from free coalgebras into general ones), which maps S to !S and  $s \in \mathbf{PoLR}_!(S,T)$  to  $s^! = !s \operatorname{dig}_S$  can simply be described as an inclusion of categories in that special case (using the characterization of  $\mathbf{PoLR}_!(S,T)$  as the set of Scott-contuous functions  $\mathcal{I}(S) \to \mathcal{I}(T)$ ).

Solution  $\triangleright$  We define a function  $\varphi_S : \widehat{\mathcal{I}}(!S) \to \mathcal{P}(|S|)$  by  $\varphi_S(U) = \bigcup U = \{a \in |S| \mid \exists u \in U \ a \in u\}$ . We prove that  $\varphi_S(U) \in \mathcal{I}(S)$ . Let  $a \in \varphi_S(U)$  and  $a' \in |S|$  such that  $a' \leq_S a$ . Let  $u \in U$  be such that  $a \in u$ . We have  $\{a'\} \leq_{!S} u$  and hence  $\{a'\} \in U$  since  $U \in \widehat{\mathcal{I}}(!S)$ , therefore  $a' \in \varphi_S(U)$ . So  $\varphi_S : \widehat{\mathcal{I}}(!S) \to \mathcal{I}(S)$ . It is clear that  $\varphi_S$  is monotonic. Conversely let  $\psi_S : \mathcal{I}(S) \to \mathcal{P}(|!S|)$  be defined by  $\psi_S(u) = u! = \mathcal{P}_{\mathrm{fin}}(u)$  (the set of finite subsets of u). We prove that  $\psi_S(u) \in \widehat{\mathcal{I}}(!S)$ . Let  $u^0 \in \mathcal{P}_{\mathrm{fin}}(u)$  and let  $v^0 \in |!S|$  such that  $v^0 \leq_{!S} u^0$ . Let  $a \in v^0$ . There is  $a' \in u^0$  such that  $a \leq_S a'$ . We have  $a' \in u$  and since  $u \in \mathcal{I}(S)$  it follows that  $a \in u$ . Therefore  $v^0 \in \psi_S(u)$ . So  $\psi_S(u) \in \mathcal{I}(!S)$ . We have  $\emptyset \in \psi_S(u)$  and hence  $\psi_S(u) \neq \emptyset$ . Last let  $u^1, u^2 \in \psi_S(u)$ , we have  $u^1 \cup u^2 \in \psi_S(u)$  and hence  $\psi_S(u)$  is directed, so  $\psi_S(u) \in \widehat{\mathcal{I}}(S)$ . We have shown that  $\psi_S : \mathcal{I}(S) \to \widehat{\mathcal{I}}(!S)$ . This map  $\psi_S$  is obviously monotonic.

Now we prove that  $\psi_S \circ \varphi_S = \operatorname{Id}$  so let  $U \in \widehat{\mathcal{I}}(!S)$ . Let  $u^0 = \{a_1, \ldots, a_n\} \in \psi_S(\varphi_S(U))$ , that is  $a_i \in \psi_S(U)$  for each  $i = 1, \ldots, n$ . So for each i there is  $u^i \in U$  such that  $a_i \in u^i$ . Since U is directed there is  $v^0 \in U$  such that  $u^i \subseteq v^0$  for  $i = 1, \ldots, n$ . It follows that  $u^0 \leq_{!S} v^0$  and hence  $u^0 \in U$  since  $U \in \widehat{\mathcal{I}}(!S)$ . Conversely let  $u^0 \in U$ , we have  $u^0 \subseteq \bigcup U$ , that is  $u^0 \in \psi_S(\varphi_S(U))$ . We have shown that  $\psi_S \circ \varphi_S = \operatorname{Id}$ . Conversely, let  $u \in \mathcal{I}(S)$ , we have  $\varphi_S(\psi_S(u)) = \bigcup \mathcal{P}_{\operatorname{fin}}(u) = u$ . So  $\varphi_S \circ \psi_S = \operatorname{Id}$ .

Let  $s \in \mathbf{PoLR}_!(S,T)$ , we have  $\mathsf{Fun}(s) : \mathcal{I}(S) \to \mathcal{I}(T)$  defined by  $\mathsf{Fun}(s)(u) = s u^!$ . Let  $I : \mathbf{PoLR}_! \to \mathbf{PoLR}^!$  be the mentioned inclusion functor. Then, thanks to the above isomorphism,  $\mathsf{fun}^!(I(s)) : \hat{\mathcal{I}}(!S) \to \hat{\mathcal{I}}(!T)$  can be considered as a Scott continuous function  $\mathcal{I}(S) \to \mathcal{I}(T)$ . More precisely, this latter function is  $f = \varphi_T \circ \mathsf{fun}^!(I(s)) \circ \psi_S$ . We have  $f(u) = \psi_T(\mathsf{fun}^!(I(s))(u^!)) = \psi_T(I(s) u^!) = \psi_T((s u^!)^!) = \psi_T(\varphi_T(s u^!)) = s u^! = \mathsf{Fun}(s)(u)$ . So, up to these isos, we have  $\mathsf{Fun}(s) = \mathsf{fun}^!(I(s))$  so that I is the inclusion functor from the category of Scott continuous functions on prime-algebraic lattices (the lattices of shape  $\mathcal{I}(S)$ ) into the category of Scott continuous functions on algebraic cpos (those of shape  $\hat{\mathcal{I}}(S)$ ).

2) Remember that  $\mathcal{Z} \in \mathbf{PoLR}_!((S \Rightarrow S) \Rightarrow S, (S \Rightarrow S) \Rightarrow S)$  has been defined during a lesson as a morphism such that, setting  $F = \mathsf{Fun} \mathcal{Z}$ , one has  $\mathsf{Fun}(F(Y))(s) = \mathsf{Fun} s(\mathsf{Fun} Y(s))$  for all  $s \in \mathbf{PoLR}_!(S, S)$ .

2.1) [There was a mistake in this question!] Given  $t \in \mathbf{PoLR}_!(T,T)$ , we set  $\varphi(t) = \bigcup_{n=0}^{\infty} (\mathsf{Fun}\,t)^n(\emptyset) \in \mathcal{I}(T)$ , the least fixed point of  $\mathsf{Fun}\,t$ . Prove that  $\varphi(t)$  is the least element of  $\mathcal{I}(T)$  such that  $(\mathsf{Fun}\,t)(u) \subseteq u$ .

Solution  $\triangleright$  Let  $u \in \mathcal{I}(T)$  be such that  $(\operatorname{\mathsf{Fun}} t)(u) \subseteq u$ , one proves by induction on n that  $(\operatorname{\mathsf{Fun}} t)^n(\emptyset) \subseteq u$  for all n, and hence  $\varphi(t) \subseteq u$  (write down the details).

Observe that, if  $(u^0, b) \in t$  with  $u^0 \subseteq \varphi(t)$  then  $b \in \varphi(t)$  because  $(\operatorname{\mathsf{Fun}} t)(\varphi(t)) \subseteq \varphi(t)$ . Conversely if  $b \in \varphi(t)$ , there is n such that  $b \in (\operatorname{\mathsf{Fun}} t)^n(\emptyset)$ . We cannot have n = 0 and hence there is  $u^0$  such that  $(u^0, b) \in t$  and  $u^0 \subseteq (\operatorname{\mathsf{Fun}} t)^{n-1}(\emptyset) \subseteq \varphi(t)$ .

So  $b \in \varphi(t) \Leftrightarrow \exists u^0 \ (u^0, b) \in t \text{ and } u^0 \subseteq \varphi(t).$ 

2.2) We set  $Y_0 = \varphi(\mathcal{Z}) \in \mathcal{I}((S \Rightarrow S) \Rightarrow S)$ . Prove that  $\operatorname{Fun} Y_0(s) = \varphi(\operatorname{Fun} s)$  for all  $s \in \mathcal{I}(S \Rightarrow S)$ . To this end, prove that  $\operatorname{Fun}(F^n(\emptyset))(s) = (\operatorname{Fun} s)^n(\emptyset)$  by induction on n. Use also the fact that  $\operatorname{Fun}_{-}$  is an order isomorphism (between  $\operatorname{PoLR}_1(T, U)$  ordered by inclusion and  $\operatorname{PoC}(\mathcal{I}(T), \mathcal{I}(U))$  ordered by the pointwise ordering on functions).

Solution  $\triangleright$  We prove  $\operatorname{\mathsf{Fun}}(F^n(\emptyset))(s) = (\operatorname{\mathsf{Fun}} s)^n(\emptyset)$  by induction on n. For n = 0 both sides are  $\emptyset$ . Assume that the equations holds for n. We have

$$\begin{aligned} \mathsf{Fun}\,(F^{n+1}(\emptyset))(s) &= \mathsf{Fun}\,(F(Y))(s) & \text{where } Y = F^n(\emptyset) \\ &= \mathsf{Fun}\,s(\mathsf{Fun}\,Y(s)) \\ &= \mathsf{Fun}\,s((\mathsf{Fun}\,s)^n(\emptyset)) & \text{by inductive hypothesis} \\ &= (\mathsf{Fun}\,s)^{n+1}(\emptyset) \end{aligned}$$

as required. Then  $\operatorname{Fun} Y_0(s) = \operatorname{Fun} (\bigcup_{n=0}^{\infty} F^n(\emptyset))(s) = \bigcup_{n=0}^{\infty} \operatorname{Fun} (F^n(\emptyset))(s)$  by the mentioned property of  $\operatorname{Fun}_{-}$  and this proves our contention.

2.3) Prove that  $(V^0, b) \in Y_0$  iff there exists  $u^0$  such that  $(u_0, b) \in \downarrow V^0$  and  $\forall b' \in u^0$   $(V^0, b') \in Y_0$ .

Solution  $\triangleright$   $(V^0, b) \in Y_0$  iff  $b \in \mathsf{Fun}(Y_0)(\downarrow V^0) = \varphi(\downarrow V_0)$ . The equivalence to be proven is then a special case of the observations of the first question (write down the details).

3) Using the semantic typing system of LPCF, compute the Scott semantics of the following terms (given with their types).

- $\vdash \Omega^{\iota} : \iota$  where  $\Omega^A = \operatorname{fix} x^A \cdot x$ .
- $\vdash$  fix  $x^{\iota} \cdot \underline{\operatorname{succ}}(x) : \iota$  (give a recursive description of the interpretation of this term).
- $\vdash \lambda x^{\iota} \operatorname{if}(x, \Omega^{\iota}, z \cdot \underline{0}) : \iota \to \iota.$
- $\vdash \lambda x^{\iota} \operatorname{fix} a^{\iota \to \iota} \cdot \lambda y^{\iota} \operatorname{if}(y, x, z \cdot \underline{\operatorname{succ}}((a) z)) : \iota \to \iota \to \iota$

Solution  $\triangleright$  We deal only with the last question the others are simpler.

Let  $M = \lambda x^{\iota} \operatorname{fix} a^{\iota \to \iota} \cdot \lambda y^{\iota} \operatorname{if}(y, x, z \cdot \underline{\operatorname{succ}}((a) z))$  and  $N = \operatorname{if}(y, x, z \cdot \underline{\operatorname{succ}}((a) z))$ . Any derivation of  $\vdash M : (u^0, (v^0, n)) : \iota \to \iota \to \iota$  is of shape

$$\frac{\overset{p}{\underset{l}{ x: u^0: \iota \vdash \mathsf{fix} \, a^{\iota \to \iota} \cdot \lambda y^\iota \, N: (v^0, n)}}{\vdash M: (u^0, (v^0, n)): \iota \to \iota \to \iota}$$

where  $\rho$  is of shape

$$\begin{array}{c} \lambda \\ \underline{x:u^0:\iota,a:U^0:\iota \to \iota,y:v^0:\iota \vdash N:n:\iota} \\ \hline \underline{x:u^0:\iota,a:U^0:\iota \to \iota \vdash \lambda y^\iota N:(v^0,n):\iota \to \iota} \\ \hline x:u^0:\iota \vdash \operatorname{fix} a^{\iota \to \iota} \cdot \lambda y^\iota N:(v^0,n) \end{array}$$

with one derivation  $\rho(v^0, n')$  for each  $(v^1, n') \in U^0$ . Coming back to the definition of N, we see that there are two possibilities as to  $\lambda$ . The first one is

$$\frac{\zeta \in v^0}{ \underbrace{x:u^0:\iota,a:U^0:\iota \to \iota, y:v^0:\iota \vdash y:\zeta:\iota}_{x:u^0:\iota,a:U^0:\iota \to \iota, y:v^0:\iota \vdash x:n:\iota}} \frac{\{n\} \leq_{\mathsf{!L}} u^0}{x:u^0:\iota,a:U^0:\iota \to \iota, y:v^0:\iota \vdash x:n:\iota}}$$

where we use the observation that if  $\zeta$  is comparable in L with an element n' of |L| then  $n' = \zeta$ .

The second possibility for  $\lambda$  is (with  $n = \overline{\operatorname{suc}} w^1$ )

$\{\overline{\operatorname{suc}}w^0\}\leq_{!L} v^0$	$(\mu(n'))_{n'\in w^1}$
$\Phi \vdash y: \overline{\operatorname{suc}} w^0:\iota$	$\Phi, z: w^0: \iota \vdash \underline{\operatorname{succ}}((a)  z): \overline{\operatorname{succ}}  w^1: \iota$
$\Phi = (x: u^0: \iota, a: U^0: \iota \to \iota, y: v^0: \iota) \vdash N: \overline{\operatorname{suc}}  w^1: \iota$	

where, for each  $n' \in w^1$ ,  $\mu(n')$  is the derivation

$$\frac{\{(v^1,n')\} \leq_{!\mathsf{L} \to \mathsf{L}} U^0}{\Phi, z: w^0: \iota \vdash a: (v^1,n'): \iota \to \iota} (\nu(n',m))_{m \in v^1}}{\Phi, z: w^0: \iota \vdash (a) z: n': \iota}$$

where for each  $m \in v^1$  the derivation  $\nu(n', m)$  is

$$\{m\} \leq_{!\mathsf{L}} w^0$$
  
$$\Phi, z: w^0: \iota \vdash z: m: \iota$$

Hence on has  $\vdash M : (u^0, (v^0, n)) : \iota \to \iota \to \iota$  iff one of the two following conditions hold:

- $\zeta \in v^0$  and  $\{n\} \leq_{!\mathsf{L}} u_0$  (indeed in that case we can take  $U^0 = \emptyset$ ).
- $n = \overline{\operatorname{suc}} w^1$  and there is  $w^0 \in |!\mathsf{L}|$  such that  $\{\overline{\operatorname{suc}} w^0\} \leq_{!\mathsf{L}} v^0$ . Moreover, for each  $n' \in w^1$  there is  $v^1 \in |!\mathsf{L}|$  such that  $\vdash M : (u^0, (v^1, n')) : \iota \to \iota \to \iota$  and  $\{m\} \leq_{!\mathsf{L}} w^0$  for each  $m \in v^1$ .

This equivalence characterizes [M]: it is the least set of tuples  $(u^0, (v^0, n))$  which satisfies this equivalence.  $\triangleleft$