# Automata minimization and glueing of categories 



Computability
in Europe 2017
June 15

Thomas Colcombet joint work with Daniela Petrişan

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# Automata minimization and glueing of categories 

[MFCS 2017] \& [Informal presentation in SIGLOG column]


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## Description of the situation

Automata

## Automata

An deterministic automaton is

$$
\left\langle Q, i, f,\left(\delta_{a}\right)_{a \in A}\right\rangle
$$

where
$Q$ is a set of states,
$i: 1 \rightarrow Q$ is the initial map
$f: Q \rightarrow 2$ is the final map
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It computes the language:

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\begin{aligned}
\llbracket \mathcal{A} \rrbracket: A^{*} & \rightarrow[1,2] \\
u & \mapsto f \circ \delta_{u} \circ i
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A vector automaton is

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where
$Q$ is an $\mathbb{R}$-vector space
$i: \mathbb{R} \rightarrow Q$ is a linear map
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L_{\mathrm{Vec}}(u)= \begin{cases}2^{|u|_{a}} & \text { if }|u|_{b} \text { is even, and }|u|_{c}=0 \\ 0 & \text { otherwise }\end{cases}
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Is it possible to do better?

A better implementation

$$
\begin{aligned}
& L_{\mathrm{Vec}}(u)=\left\{\begin{array}{ll}
2^{|u|_{a}} & \text { if }|u|_{b} \text { is even, and }|u|_{c}=0 \\
0 & \text { otherwise }
\end{array} \begin{array}{l}
\text { Solution in vector } \\
\text { spaces }
\end{array}\right. \\
& Q=\mathbb{R}^{2} \\
& i(x)=(x, 0) \\
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& \qquad \begin{array}{ll}
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# A better implementation 

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L_{\mathrm{Vec}}(u)= \begin{cases}2^{|u|_{a}} & \text { if }|u|_{b} \text { is even, and }|u|_{c}=0 \\ 0 & \text { otherwise }\end{cases}
$$

Informally: use one bit for the parity to the number of b's.

Solution in vector spaces

$$
\begin{aligned}
& Q=\mathbb{R}^{2} \\
& l(x)=(x, 0) \\
& f(x, y)=x \\
& \delta_{a}(x, y)=(2 x, 2 y) \\
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L_{\mathrm{Vec}}(u)= \begin{cases}2^{|u|_{a}} & \text { if }|u|_{b} \text { is even, and }|u|_{c}=0 \\ 0 & \text { otherwise } \\ \text { Solution in }\end{cases}
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$\delta_{b}($ even, $x)=($ odd, $x)$
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$\delta_{c}($ even,$x)=($ even, 0$)$
$\delta_{c}($ odd,$x)=($ odd, 0$)$

Why is it a better implementation?
Is there a good notion of such automata?
What are their properties (e.g. minimization)?

# A definition via categories 

## Categories

A category has objects and arrows

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X, Y, Z \ldots
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X, Y, Z \ldots \quad f: X \rightarrow Y
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X, Y, Z \ldots \text { source }_{f:)^{X} \rightarrow \bigcup_{\text {target }}}
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+ some associatively axioms.


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+ some associatively axioms.

$$
\begin{aligned}
& \text { Set }=\text { (sets, maps }) \\
& \text { Vec = (vector spaces, linear maps }) \\
& \text { Aff = (affine spaces, affine maps) } \\
& \text { Rel = (sets, binary relations })
\end{aligned}
$$

Automata in a category

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A (C,I,F)-automaton is

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$Q$ is a object of states,
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Auto( L ) is the category of ( $\mathrm{C}, \mathrm{I}, \mathrm{F}$ )automata for the (C,I,F)-language L.

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A morphism is an arrow

$$
h: Q_{\mathcal{A}} \rightarrow Q_{\mathcal{B}}
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such that tfdc:




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Rk: Morphisms preserve the language.

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Auto(L) is the category of (C,I,F)automata for the (C,I,F)-language L.

- (Set,1,2)-automata are deterministic automata
- (Rel,1,1)-automata are nondeterministic automata
- (Vec,K,K)-automata are automata weighted over a field K. (more generally semi-modules)

A morphism is an arrow

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such that tfdc:



Rk: Morphisms preserve the language.

Category of disjoint unions of vector spaces
(free co-product completion of Vec)

## Category of disjoint unions of vector spaces

A disjoint union of vector space is an ordered pair

$$
\left(I,\left(V_{i}\right)_{i \in I}\right)
$$

where $I$ is a set of indices, and $V_{i}$ is a vector space for all $i \in I$.

## Category of disjoint unions of vector spaces

A disjoint union of vector space is an ordered pair $\left(I,\left(V_{i}\right)_{i \in I}\right)$
where $I$ is a set of indices, and $V_{i}$ is a vector space for all $i \in I$.

Let Duvs be the category with

- as objects the finite unions of vector spaces
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Remark: Vec is a subcategory of Duvs.

## Duvs-automata

$$
L_{\mathrm{Vec}}(u)= \begin{cases}2^{|u|_{a}} & \text { if }|u|_{b} \text { is even, and }|u|_{c}=0 \\ 0 & \text { otherwise }\end{cases}
$$

$$
\begin{aligned}
& Q=(\{\text { odd }\} \times \mathbb{R}) \cup(\{\text { even }\} \times \mathbb{R}) \\
& i(x)=(\text { even }, x) \\
& f(\text { even }, x)=x \\
& f(\text { odd }, x)=0 \\
& \delta_{a}(\text { even }, x)=(\text { even }, 2 x) \\
& \delta_{a}(\text { odd }, x)=(\text { odd, } 2 x) \\
& \delta_{b}(\text { even }, x)=(\text { odd }, x) \\
& \delta_{b}(\text { odd }, x)=(\text { even }, x) \\
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```
Q=({odd }}\times\mathbb{R})\cup({\mathrm{ even }}\times\mathbb{R}
i(x)=(even, x)
f(even, x)=x
f(odd, x)=0
\delta}(\mathrm{ even, }x)=(\mathrm{ even, 2x)
\delta
\deltab}(\mathrm{ even, }x)=(\mathrm{ odd, }x
\delta
\delta
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```


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$Q=(\{$ odd $\} \times \mathbb{R}) \cup(\{$ even $\} \times \mathbb{R})$
$i(x)=($ even,$x)$
$f($ even,$x)=x$
$f($ odd,$x)=0$
$\delta_{a}($ even,$x)=($ even, $2 x)$
$\delta_{a}($ odd,$x)=($ odd, $2 x)$
$\delta_{b}($ even,$x)=($ odd,$x)$
$\delta_{b}($ odd,$x)=($ even,$x)$
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| Indices $=\{$ odd, even $\}$ |
| :--- |
| $i(x)=($ even,$x)$ |
| $f($ even,$x)=x$ |
| $f($ odd,$x)=0$ |
| $\delta_{\text {odd }}=V_{\text {even }}=\mathbb{R}$ |
| $\delta_{a}($ even,$x)=($ even, $2 x)=($ odd, $2 x)$ |
| $\delta_{b}($ even,$x)=($ odd, $x)$ |
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| :---: | :---: |
| $i(x)=(\mathrm{even}, x)$ | $\cdots V_{\text {odd }}=V_{\text {even }}=\mathbb{R}$ |
| $\begin{aligned} & f(\text { even }, x)=x \\ & f(\text { odd }, x)=0 \end{aligned}$ | Is it minimal ? No.. |
| $\begin{aligned} & \delta_{a}(\text { even }, x)=(\text { even }, 2 x) \\ & \delta_{a}(\text { odd }, x)=(\text { odd }, 2 x) \end{aligned}$ | (odd, 0 ) and (even, 0 ) are observationally equivalent |
| $\begin{aligned} & \delta_{b}(\text { even }, x)=(\text { odd }, x) \\ & \delta_{b}(\text { odd }, x)=(\text { even }, x) \end{aligned}$ |  |
| $\begin{aligned} & \delta_{c}(\text { even }, x)=(\text { even }, 0) \\ & \delta_{c}(\text { odd }, x)=(\text { odd }, 0) \end{aligned}$ |  |

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| :--- | :--- |
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| $f($ even,$x)=x$ | Is it minimal ? No... |
| $f($ odd,$x)=0$ | (odd, 0$)$ and (even, 0$)$ are |
| $\delta_{\text {even }}($ even,$x)=($ even, $2 x)$ | observationally equivalent |
| $\delta_{a}($ odd, $x)=($ odd, $2 x)$ | But the implementation is arbitrary. |
| $\delta_{b}($ even,$x)=($ odd, $x)$ |  |
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| $\delta_{b}($ even,$x)=($ odd, $x)$ | But the implementation is arbitrary. |
| $\delta_{b}($ odd,,$x)=($ even,$x)$ | Can it be made minimal? |
| $\begin{aligned} & \delta_{c}(\text { even }, x)=(\text { even }, 0) \\ & \delta_{c}(\text { odd }, x)=(\text { odd }, 0) \end{aligned}$ |  |

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\delta_{b}(\text { even, } x)=(\text { odd, } x) & \text { But the implementation is arbitrary. } \\
\left.\delta_{b} \text { (odd, } x\right)=(\text { even, } x) & \text { Can it be made minimal? No... } \\
\delta_{c}(\text { even }, x)=(\text { even, } 0) & \text { Well, in fact Yes... but would be larger... } \\
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\end{array}
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Minimizing automata via categories

## Ingredients for the existence of a minimal automaton

## Questions:

Given a (C,I,F)-automaton,

- what does it mean to be minimal?
- at what condition there exists a minimal automaton for a language?
- what do we need to effectively compute it?


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notion of «surjection» notion of «injection»

## Initial and final automata

In a category, an object is

- initial if there is one and exactly one arrow from it to every other object
- final if there is one and exactly one arrow to it from every other object



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Initial (Set, 1,2)-automaton for L:

- states = A*
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Remark: Initial and final automata exist as soon as the category has countable copowers and powers (works e.g. for Set, Vec, Aff,...).

## Factorization systems

A pair of families of arrows $(\mathcal{E}, \mathcal{M})$ is a factorization system if:

# Factorization systems 

«epimorphisms» «monomorphisms» «surjections» «injections»

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- all arrows $f: X \rightarrow Y$ can be written

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f=m \circ e
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for some $e: X \rightarrow Z$ in $\mathcal{E}$ and $m: Z \rightarrow Y$ in $\mathcal{M}$.

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In Vec:


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## Factorization system for automata

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Lemma: If there is a factorization system $(\mathcal{E}, \mathcal{M})$ in a category $\mathcal{C}$ then it can be lifted to the category of $\mathcal{C}$-automata for a language: these automata morphisms that belong to $\mathcal{E}$ (resp. $\mathcal{M}$ ) as arrows in $\mathcal{C}$.

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Hence (Set, 1,2)-automata (i.e. DFA) have a factorization system (surjective morphisms,injective morphisms).

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Similarly (Vec,K,K)-automata (i.e., automata weighted over a field) possess factorization system (surjective morphisms,injective morphisms).

## Definition:

- an $\mathcal{M}$-subobject $X$ of $Y$ is such that there is an $\mathcal{M}$-arrow $m: X \rightarrow Y$,
- an $\mathcal{E}$-quotient $X$ of $Y$ is such that there is an $\mathcal{E}$-arrow $e: Y \rightarrow X$,
- $X(\mathcal{E}, \mathcal{M})$-divides $Y$ if it is a $\mathcal{E}$-quotient of an $\mathcal{M}$-subobject of $Y$.

Minimization!

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Lemma: In a category with initial object, final object, and a factorization system $(\mathcal{E}, \mathcal{M})$ then:

- there exists an object Min that $(\mathcal{E}, \mathcal{M})$-divides all objects,
- furthermore $\operatorname{Min} \approx \operatorname{Obs}(\operatorname{Reach}(X)) \approx \operatorname{Reach}(\operatorname{Obs}(X))$ for all $X$, where
- $\operatorname{Reach}(X)$ is the factorization of the only arrow from $I$ to $X$, and
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Proof: Min is the factorization of the only arrow from $I$ to $F$. And...


## At this point...

We know that:

- C-automata and C-languages can be defined generally in a category C, yielding a


## category Auto(L) of «C-automata for the language $L$ »

- for having a minimal object in a category, it is sufficient to have:

1) an initial and a final object in the category for the language,
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- that the existence of initial and final automata arise from simple assumptions on C,
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- that standard minimization for DFA and field weighted automata are obtained this way.

But, what about minimizing duvs-automata?

## Glueings

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L_{\mathrm{Vec}}(u)= \begin{cases}2^{|u|_{a}} & \text { if }|u|_{b} \text { is even, and }|u|_{c}=0 \\ 0 & \text { otherwise }\end{cases}
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Vec-automaton

$$
\begin{aligned}
& Q=\mathbb{R}^{2} \\
& i(x)=(x, 0) \\
& f(x, y)=x \\
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## Defining Glue(Vec)

A glueing of vector space is

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The advantage is that the concepts are well known, definition properly stated, and this can be applied to other categories than Vec.

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Theorem: For Glue(Vec)-languages recognized by GlueFin(VecFin)automata, there exists a minimal automaton for the language among GlueFin(VecFin)-automata.

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We introduce the notion of « factorization through a subcatefory ».

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Subspace that can be described as the glueing in 0 of two copies of $\mathbb{R}$.

## Conclusion

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- new categorical concepts on the way,
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## And then?

- Make this construction effective... (generalization of sequencialization)
- tree automata
- algebras (monoids,...)
- infinite objects (omega-semigroup, o-semigroup, monads...).

