Automata minimization and glueing of categories



Computability in Europe 2017 June 15

Thomas Colcombet joint work with Daniela Petrişan









Automata minimization and glueing of categories

[MFCS 2017] & [Informal presentation in SIGLOG column]



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Description of the situation

An deterministic automaton is

$$\langle Q, i, f, (\delta_a)_{a \in A} \rangle$$

where

Q is a set of **states**,

 $i: 1 \rightarrow Q$ is the initial map

 $f: Q \rightarrow 2$ is the final map

 $\delta_a \colon Q \to Q$ is the transition map

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A vector automaton is

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Q is an \mathbb{R} -vector space

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Schützenberger's automata weighted over a field

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Is it possible to do better?

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Informally: use one bit for the parity to the number of b's.

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 $\delta_b(\text{odd}, x) = (\text{even}, x)$ Why is $\delta_c(\text{even}, x) = (\text{even}, 0)$ Is therefore $\delta_c(\text{odd}, x) = (\text{odd}, 0)$

Solution in vector spaces

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Why is it a better implementation?

Is there a good notion of such automata?

What are their properties (e.g. minimization)?

A definition via categories

A category has objects and arrows

```
A category has objects and arrows X, Y, Z \dots
```

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 $X, Y, Z \dots$ $f: X \to Y$

A category has objects and arrows $X,Y,Z\dots \qquad f\colon X\to Y$ source target

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- There is an identity arrow for all object:

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- Arrows compose: for $f: X \to Y$ and $g: Y \to Z$ there is an arrow:

$$g \circ f \colon X \to Z$$

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+ some associatively axioms.

```
Set = (sets, maps)
Vec = (vector spaces, linear maps)
Aff = (affine spaces, affine maps)
Rel = (sets, binary relations)
```

A (C,I,F)-automaton is

$$\langle Q, i, f, (\delta_a)_{a \in A} \rangle$$

where

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for the letter a.

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Auto(L) is the category of (C,I,F)-automata for the (C,I,F)-language L.

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A morphism is an arrow

$$h: Q_{\mathcal{A}} \to Q_{\mathcal{B}}$$

such that tfdc:

$$I \stackrel{i_{\mathcal{A}}}{\smile} Q_{\mathcal{B}} \qquad Q_{\mathcal{A}} \qquad Q_{\mathcal{A}} \stackrel{\delta_{\mathcal{A}}(a)}{\longrightarrow} Q_{\mathcal{A}} \qquad Q_{\mathcal{A}}$$

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 $\downarrow h \qquad h \downarrow \qquad \downarrow h \qquad h \downarrow \qquad \downarrow f_{\mathcal{B}} F$
 $Q_{\mathcal{B}} \qquad Q_{\mathcal{B}} \xrightarrow{\delta_{\mathcal{B}}(a)} Q_{\mathcal{B}} \qquad Q_{\mathcal{B}} \xrightarrow{f_{\mathcal{B}}(a)} F$

Rk: Morphisms preserve the language.

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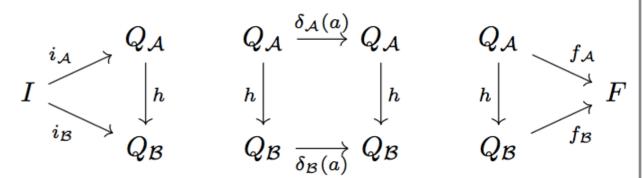
- (Set,1,2)-automata are deterministic automata
- (Rel,1,1)-automata are nondeterministic automata
- (Vec,K,K)-automata are automata weighted over a field K. (more generally semi-modules)

-

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Rk: Morphisms preserve the language.

Category of disjoint unions of vector spaces (free contracts)

(free co-product completion of **Vec**)

A disjoint union of vector space is an ordered pair

$$(I,(V_i)_{i\in I})$$

where I is a set of indices, and V_i is a vector space for all $i \in I$.

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Let **Duvs** be the category with

- as objects the finite unions of vector spaces
- as arrows the morphisms of finite unions of vector spaces.

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A morphism from $(I,(V_i)_{i\in I})$ to $(J,(W_i)_{i\in J})$ is the pair of:

- a map f from I to J
- a linear map g_i from V_i to $W_{f(i)}$ for all $i \in I$.

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Remark: Vec is a subcategory of Duvs.

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$$\begin{split} Q &= (\{\mathsf{odd}\} \times \mathbb{R}) \cup (\{\mathsf{even}\} \times \mathbb{R}) \\ i(x) &= (\mathsf{even}, x) \\ f(\mathsf{even}, x) &= x \\ f(\mathsf{odd}, x) &= 0 \\ \delta_a(\mathsf{even}, x) &= (\mathsf{even}, 2x) \\ \delta_a(\mathsf{odd}, x) &= (\mathsf{odd}, 2x) \\ \delta_b(\mathsf{even}, x) &= (\mathsf{odd}, x) \\ \delta_b(\mathsf{odd}, x) &= (\mathsf{even}, x) \\ \delta_c(\mathsf{even}, x) &= (\mathsf{even}, 0) \\ \delta_c(\mathsf{odd}, x) &= (\mathsf{odd}, 0) \end{split}$$

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$$Q = (\{\operatorname{odd}\} \times \mathbb{R}) \cup (\{\operatorname{even}\} \times \mathbb{R})$$

$$i(x) = (\operatorname{even}, x)$$

$$f(\operatorname{even}, x) = x$$

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$$\delta_a(\operatorname{even}, x) = (\operatorname{even}, 2x)$$

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$$Indices = \{\text{odd}, \text{even}\}$$

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$$Is \text{ it minimal ?} \quad \text{No...}$$

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Well, in fact Yes... but would be larger...

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 $Indices = \{odd, even\}$

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Is it minimal? No...

(odd, 0) and (even, 0) are observationally equivalent But the implementation is arbitrary.

Can it be made minimal? No...

Well, in fact Yes... but would be larger... What can be done?

Minimizing automata via categories

Questions:

Given a (C,I,F)-automaton,

- what does it mean to be minimal?
- at what condition there exists a minimal automaton for a language?
- what do we need to effectively compute it?

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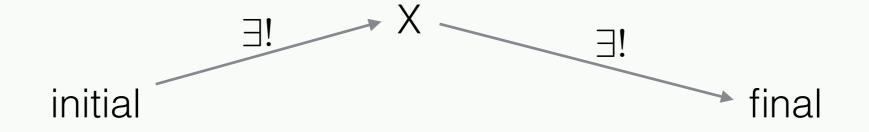
it is the quotient of a subautomaton.

notion of « surjection »

notion of « injection »

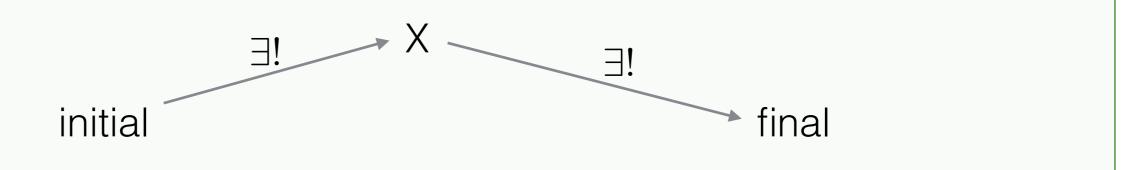
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- initial if there is one and exactly one arrow from it to every other object
- final if there is one and exactly one arrow to it from every other object



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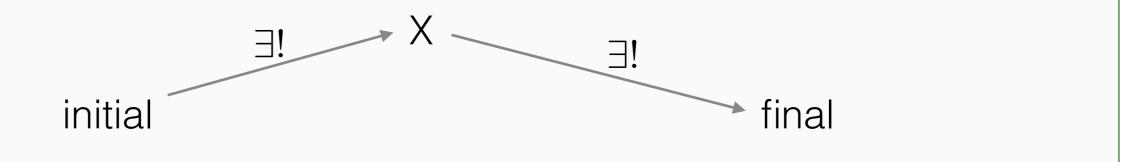
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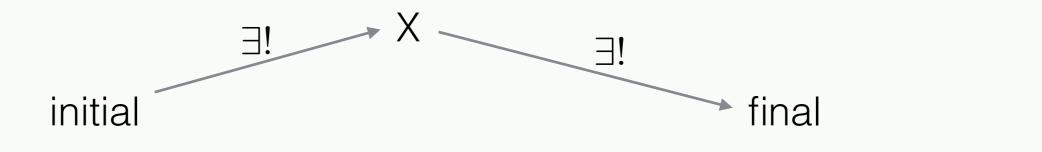
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Initial (Set,1,2)-automaton for L:

- states = A^*
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- final(R) = $R(\varepsilon)$
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- $\delta_a(R) = \{u : au \in R\}$

Remark: Initial and final automata exist as soon as the category has countable copowers and powers (works e.g. for Set, Vec, Aff,...).

Factorization systems

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$$f = m \circ e$$

for some $e: X \to Z$ in \mathcal{E} and $m: Z \to Y$ in \mathcal{M} .

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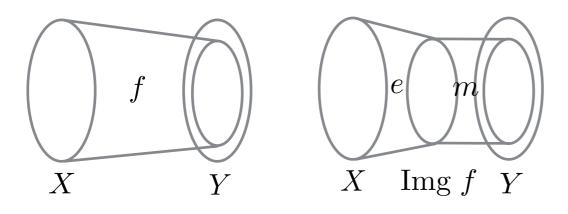
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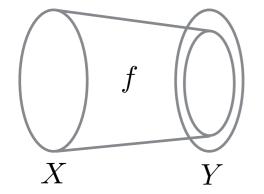
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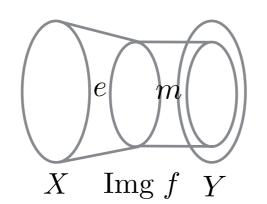
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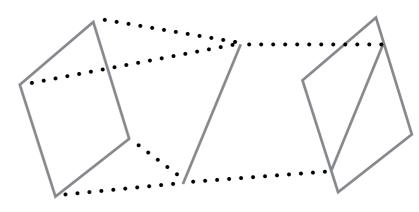
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In Vec:



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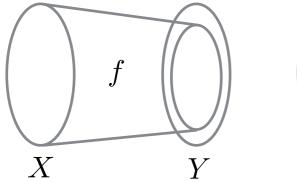
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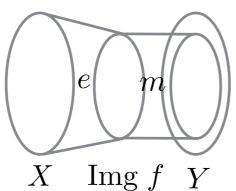
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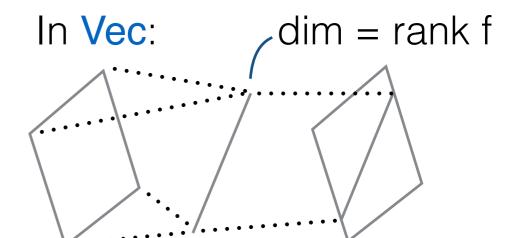
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In Set:







Lemma: If there is a factorization system $(\mathcal{E}, \mathcal{M})$ in a category \mathcal{C} then it can be lifted to the category of \mathcal{C} -automata for a language: these automata morphisms that belong to \mathcal{E} (resp. \mathcal{M}) as arrows in \mathcal{C} .

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Definition:

- an \mathcal{M} -subobject X of Y is such that there is an \mathcal{M} -arrow $m: X \to Y$,
- an \mathcal{E} -quotient X of Y is such that there is an \mathcal{E} -arrow $e: Y \to X$,
- X $(\mathcal{E}, \mathcal{M})$ -divides Y if it is a \mathcal{E} -quotient of an \mathcal{M} -subobject of Y.

Lemma: In a category with initial object, final object, and a factorization system $(\mathcal{E}, \mathcal{M})$ then:

- there exists an object Min that $(\mathcal{E}, \mathcal{M})$ -divides all objects,
- furthermore $\operatorname{Min} \approx \operatorname{Obs}(\operatorname{Reach}(X)) \approx \operatorname{Reach}(\operatorname{Obs}(X))$ for all X , where
 - Reach(X) is the factorization of the only arrow from I to X, and
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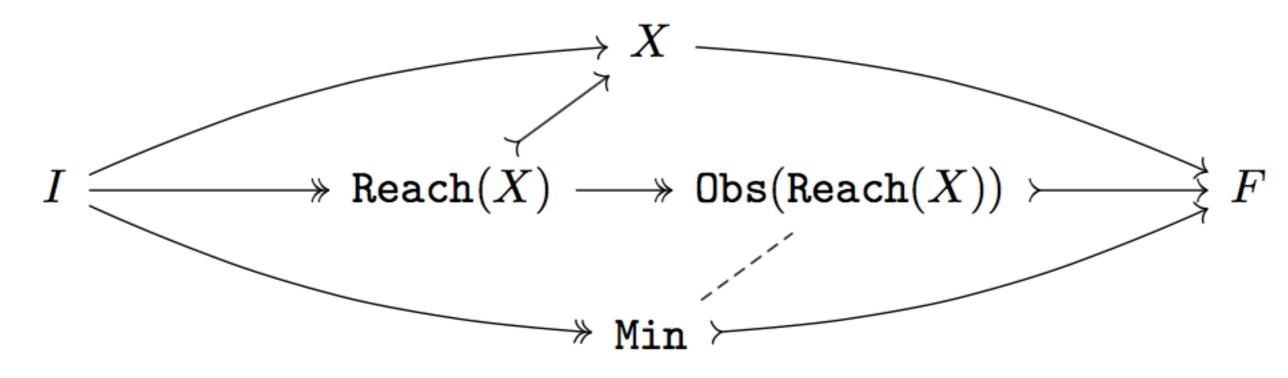
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We know that:

 C-automata and C-languages can be defined generally in a category C, yielding a

category Auto(L) of « C-automata for the language L »

- for having a minimal object in a category, it is sufficient to have:
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 - 2) a factorization system in tC,
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But, what about minimizing duvs-automata?

$$L_{\text{Vec}}(u) = \begin{cases} 2^{|u|_a} & \text{if } |u|_b \text{ is even, and } |u|_c = 0\\ 0 & \text{otherwise} \end{cases}$$

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Vec-automaton

$$Q = \mathbb{R}^2$$

$$i(x) = (x, 0)$$

$$f(x, y) = x$$

$$\delta_a(x, y) = (2x, 2y)$$

$$\delta_b(x, y) = (y, x)$$

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$$L_{\text{Vec}}(u) = \begin{cases} 2^{|u|_a} & \text{if } |u|_b \text{ is even, and } |u|_c = 0\\ 0 & \text{otherwise} \end{cases}$$

Vec-automaton

$$Q = \mathbb{R}^2$$

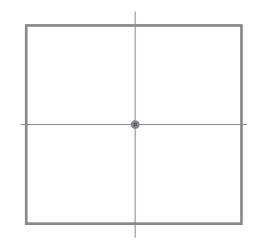
$$i(x) = (x, 0)$$

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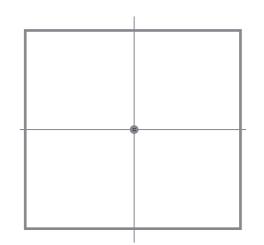
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Duvs-automaton

$$Q = \{ ext{odd}, ext{even} \} imes \mathbb{R}$$
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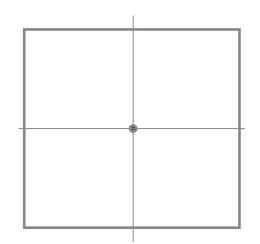
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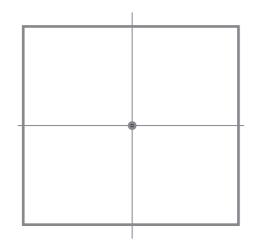
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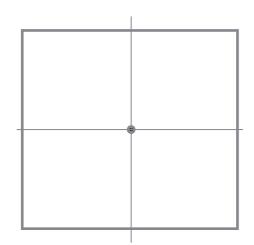
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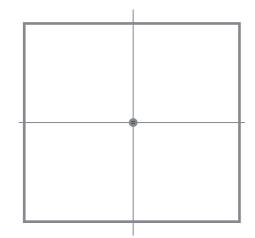
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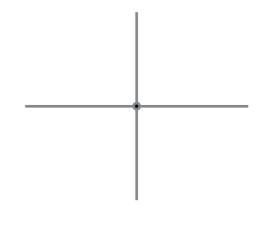


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Glue(Vec)-automaton





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- together with an equivalence relation which:
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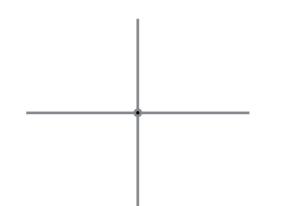
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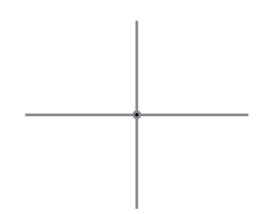
The category of glueings of vector spaces is the restriction of the co-completion of Vec to some specific colimits: monocolimits.

The advantage is that the concepts are well known, definition properly stated, and this can be applied to other categories than **Vec**.

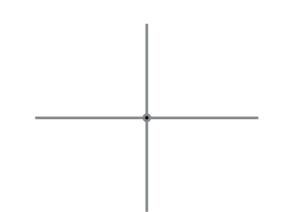
$$Q = (\{ \texttt{odd} \} \times \mathbb{R}) \cup (\{ \texttt{even} \} \times \mathbb{R})$$
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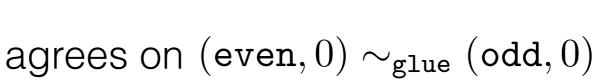
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```

The minimal automaton for our example is:

```
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with (even, 0) \sim_{\mathtt{glue}} (\mathtt{odd}, 0)
i(x) = (even, x)
```

 $f(\mathtt{even}, x) = x$ $f(\mathtt{odd}, x) = 0$

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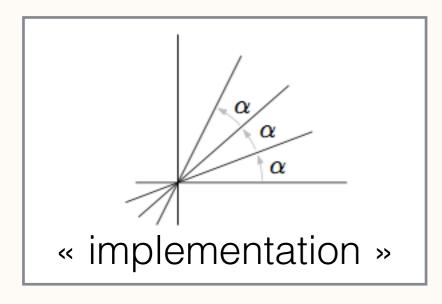
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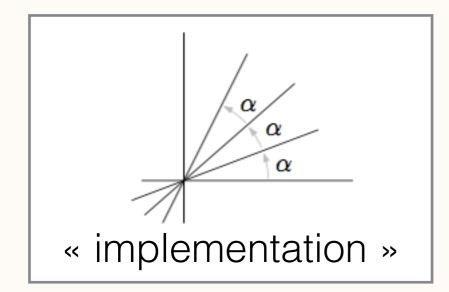


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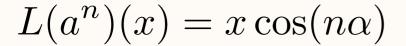


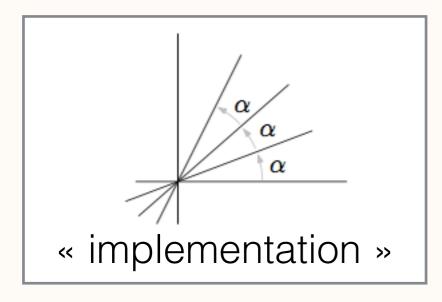
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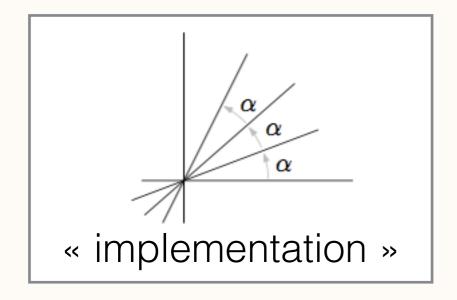
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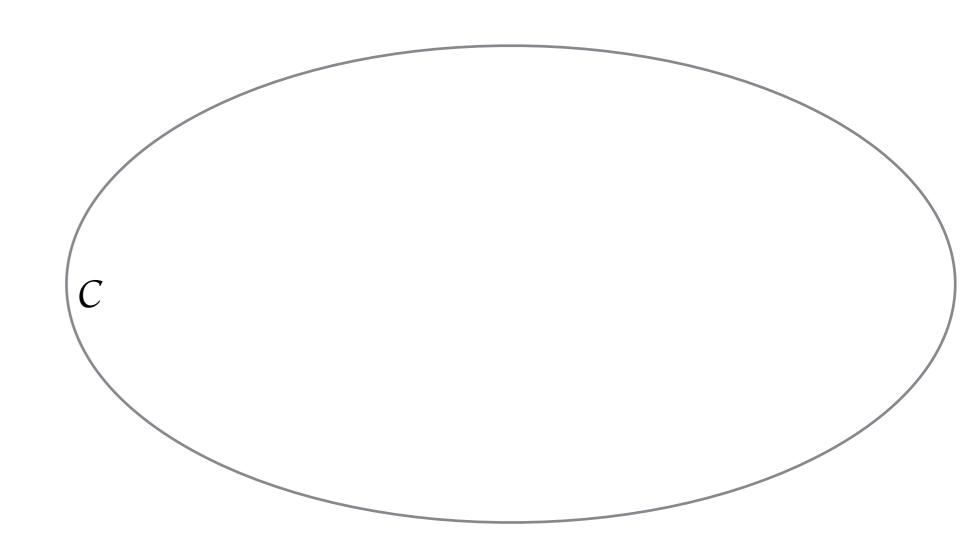
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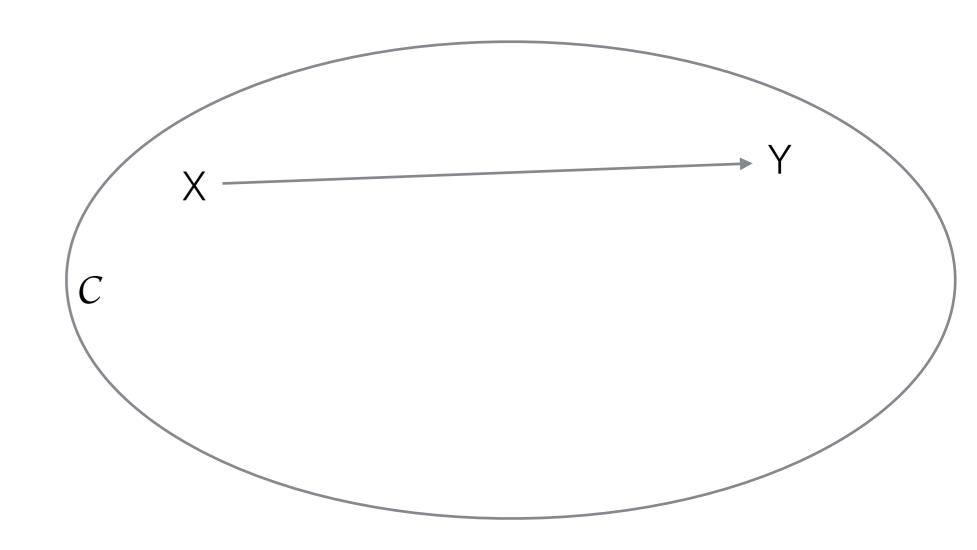
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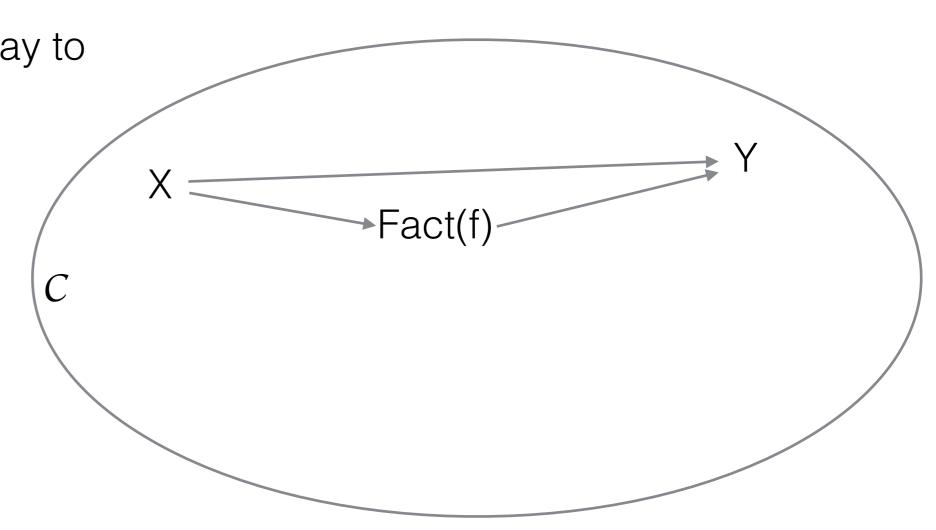
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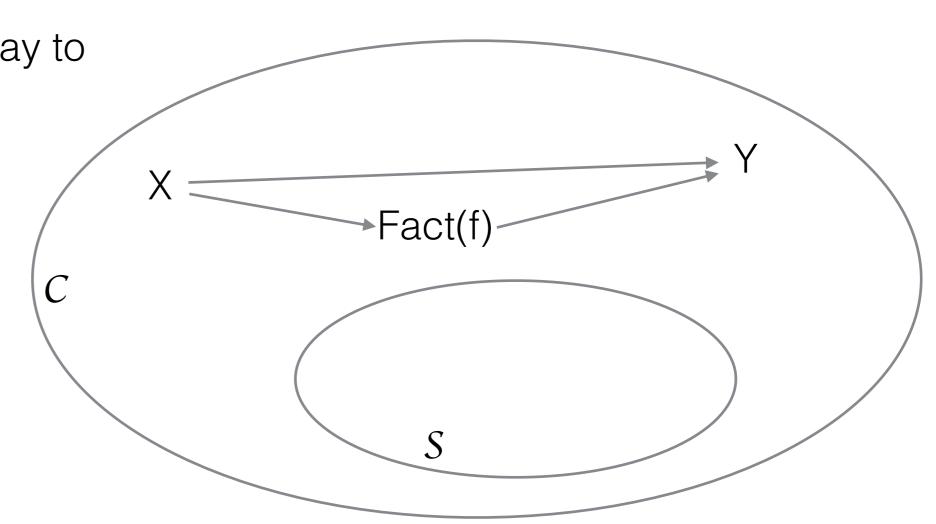
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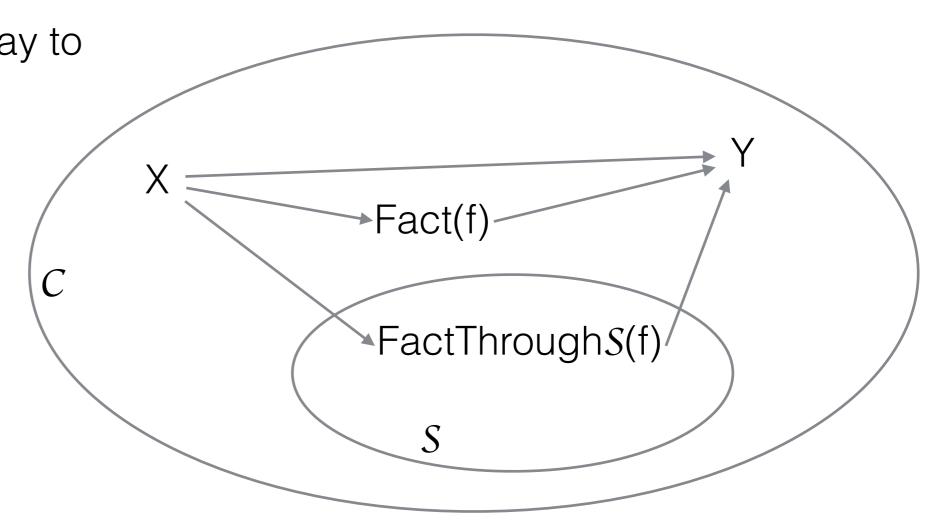
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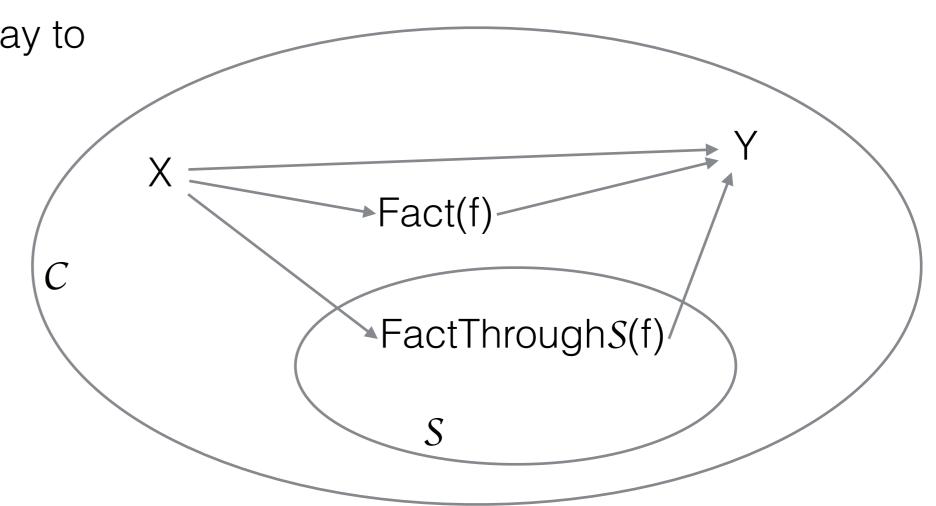
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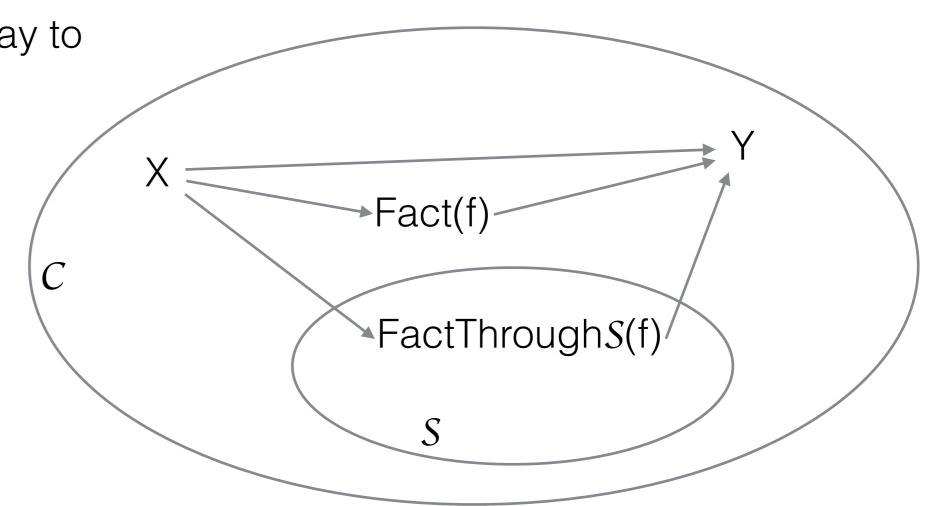


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(note that this distinction is not necessary for **Set** or **Vec**)

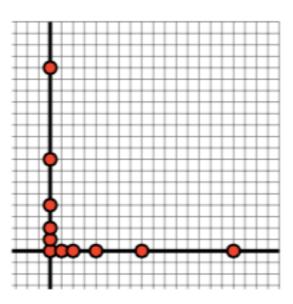
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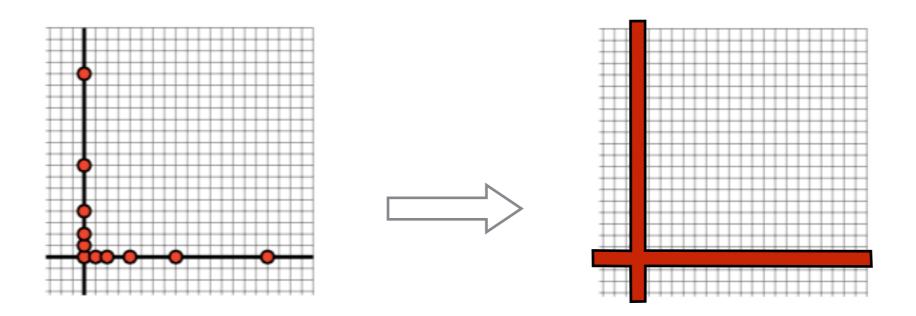
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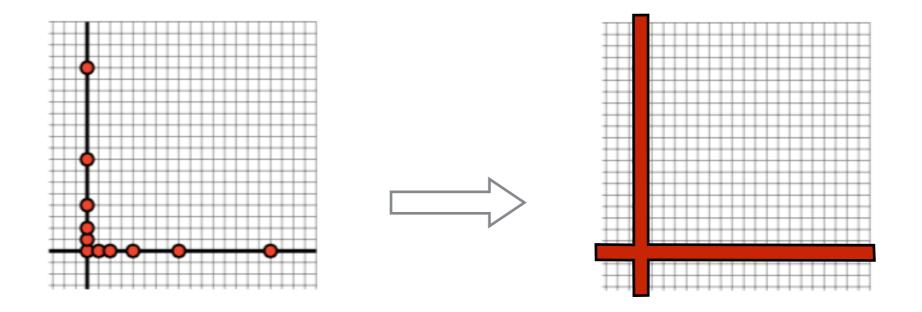
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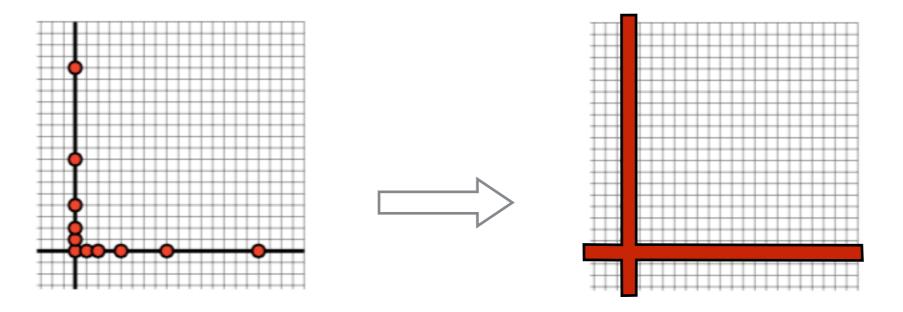
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Configurations taken by the first **Vec**-automaton

Subspace that can be described as the glueing in 0 of two copies of \mathbb{R} .

Conclusion

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- new categorical concepts on the way,
- new ways to construct categories that yield **natural classes** of minimizable automata using **« glueings »**.

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- Schützenberger's weighted automata, and its long continuations [Sakarovitch, Lombardy, Droste, Gastin, Vogler, ...]
- There is a long history of categorical view of minimization [Arbib, Manes, Adamek, Milius, Silva, Panangaden, Kupke...]

And then?

- Make this construction effective... (generalization of sequencialization)
- tree automata
- algebras (monoids,...)
- infinite objects (omega-semigroup, o-semigroup, monads...).